

## 15.99.03.T1 Research Misconduct



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Reviewed: July 9, 2018  
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### Rule Summary

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This rule is required by and supplements The Texas A&M University System (System) Regulation *15.99.03, Research Misconduct*, and outlines the process for reviewing and handling allegations of scientific or scholarly research misconduct at Tarleton State University (Tarleton State) in accordance with System Regulation *15.99.03*. This rule and System Regulation *15.99.03* are applicable to all faculty, staff, and students, as described in System Regulation *15.99.03* definitions.

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### Definitions

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Refer to System Regulation *15.99.03* [Definitions](#).

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### Rule

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#### 1. GENERAL

- 1.1 Research at Tarleton State must be conducted under the highest standards of honesty and integrity. All data, primary sources, procedures, and findings must be properly and thoroughly documented. The credibility and long-term reputation of the System, Tarleton State, and university faculty and staff, depend on the encouragement, enforcement, and reward of superior ethical standards and good stewardship of research funds. Achieving high standards of research integrity should transcend considerations of finance, personal gain, and short-term individual and institutional recognition.
- 1.2 For the purpose of this rule, research also includes contract testing conducted at Tarleton State.
- 1.3 This rule is applicable to research misconduct occurring within six years of the date that a funding agency, the System, or member receives an allegation of research misconduct, with the exceptions noted for Health and Human Services (HHS) funded research in section 7 of System Regulation *15.99.03*.
- 1.4 The handling of allegations of research misconduct at Tarleton State will be consistent with the terms of and in accordance with System Regulation *15.99.03*.

## 2. ROLES AND RESPONSIBILITIES

### 2.1 Deciding Official (DO)

The Vice President for Research, Innovation and Economic Development is appointed to serve as the university's DO and will carry out DO duties and responsibilities consistent with System Regulation *15.99.03*.

### 2.2 Research Integrity Officer (RIO)

The Senior Research Compliance Administrator is appointed to serve as the university's RIO and will carry out RIO duties and responsibilities consistent with System Regulation *15.99.03*.

### 2.3 Deputy RIO

The Research Compliance Coordinator is appointed to serve as the university's Deputy RIO and will carry out Deputy RIO duties and responsibilities consistent with System Regulation *15.99.03* under the direction of the RIO.

### 2.4 Institutional Certifying Official (ICO)

The Senior Research Compliance Administrator is appointed to serve as the university's ICO and will carry out ICIO duties and responsibilities consistent with System Regulation *15.99.03*.

### 2.5 If any position identified in this section is vacant, unavailable, or the appointee has conflicts of interest with the topic(s), complainant(s), respondent(s), witness(es), or other individuals involved in the research misconduct proceedings, the university, upon consultation with the System Office of General Council and the Chief Research Compliance Officer (CRCO), will appoint a qualified individual to serve in that capacity on an interim basis. The appointee must possess the necessary expertise and institutional authority to carry out the duties of the designated role consistent with the terms of and in accordance with System Regulation *15.99.03*.

## 3. ALLEGATIONS OF RESEARCH MISCONDUCT

### 3.1 Allegations of misconduct related to research at Tarleton State may be received through any means of communication, including those outlined on the Division of Research Report a Concern [webpage](#).

### 3.2 Assessments, inquiries, and investigations of allegations of research misconduct at Tarleton State will be consistent with the terms of and in accordance with System Regulation *15.99.03*.

### 3.3 Decisions on allegations of research misconduct by the DO will be consistent with the terms of and in accordance with System Regulation *15.99.03*.

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## Related Statutes, Policies, or Requirements

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[42 CFR, Part 93](#)

[45 CFR, Part 689](#)

[Federal Research Misconduct Policy \(OSTP\)](#)

System Regulation [15.99.03, Research Misconduct](#)

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## Contact Office

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